Approved for use through 07/31/2006. OMB 0651-003)

U.S. Patent and Trademark Office. U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY **PATENT APPLICATION TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No.	1708	
First Inventor	Lori Lisa Carrigan	
Title	Inbred Corn Line PH907	•
Express Mail Label No.	EL 870385980 US	

	455				1		Mail Stop	Patent Application	0
APPLICATION ELEMENTS			ADDRESS TO. Commissioner for Patents						
See MPEP chapter 600 concerning utility patent application contents.			P.O. Box 1450 Alexandria VA 22313-1450						
See MPEP chapter 600 concerning utility patent application contents. 1. 図 Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) 2. □ Applicant claims small entity status. See 37 CFR 1.27. 3. 図 Specification [Total Pages (preferred arrangement set forth below) - Descriptive title of the Invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 4. □ Drawing(s) (35 U.S.C.113) [Total Sheets] 5. Oath or Declaration [Total Sheets] 5. Oath or Declaration (37 CFR 1.63 (d)) (for a continuation/divisional with Box 18 completed) i. □ DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 6. ☑ Application Data Sheet. See 37 CFR 1.76				7.	7. □ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. □ Computer Readable Form (CRF) b. Specification Sequence Listing on: i. □ CD-ROM or CD-R (2 copies); or ii. □ Paper c. □ Statements verifying identity of above copies ACCOMPANYING APPLICATIONS PARTS 9. ☒ Assignment Papers (cover sheet & document(s)) 10. □ 37 C.F.R. 3.73(b) Statement □ Power of (when there is an assignee) Attorney 11. □ English Translation Document (if applicable) 12. □ Information Disclosure □ Copies of IDS Statement (IDS)/PTO-1449 Citations 13. □ Preliminary Amendment 14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 15. □ Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. ☒ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.				
				r, and supply	the requisit	e information be	elow and in	a preliminary amendment,	
or in an Application Data Sheet under 37 CFR 1.76: ☐ Continuation ☐ Divisional ☐ Continuation-in-part (C					(CIP)			ło: /	
Prior application information: Examiner			prior applica	Art Unit:		actoration is supplied upday 5	Pay Sh		
For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only					only				
be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS									
		<u>-</u>		273					
☑ Custor	mer Number	.		213			OR 🗆 (Correspondence address belo	W
Name									
Addition									
Address									
City				State		7	o Code		
Country			Telephoi				Fax		
Name (Print			Registration No. (Attorney/Agent) 43,525		43,525				
Signature	ure Steen Collets					Date	January 30, 2004		

This collection of information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



Certificate of Mailing under 37 CFR 1.10 Express Mailing Label Number EL 870385980 US

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as "Express Mail Post Office to Addressee" Service in an envelope addressed to:

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

on January 30, 2004.

- 1. Certificate of Mailing -1 page
- 2. Utility Patent Application Transmittal 1 page
- 3. Fee Transmittal Form 1 page
- 4. Application Data Sheet 2 pages
- 5. Specification *io4* pages
- 6. Declaration 3 pages
- 7. PTO 1595 1 page
- 8. Assignment 2 pages

Signature

Dave Davis

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Ciraci die i speriori Neddecidi Vel di 1995, ilo persons die regulied ib					Complete if Known						
FEE TRANSMITTAL for FY 2004			Complete if Known								
			Application Number		<u> </u>						
			Filing	Date		ļ					
Effective 10/01/2003. Patent fees are subject to annual revision.			First Named Inventor			Lori L	isa Carrigan				
Effective 10/01/20	003. Patent lees are	subject to annual	revision.	Exami	Examiner Name						
Applicant clai	ms small entity	status. See 37	7 CFR 1.27	Art Un	it						
TOTAL AMOUNT	OF PAYMENT	(\$) 950		Attorn	Attorney Docket No. 1708						
METHO	D OF PAYMENT (ch	neck all that apply)		FEE CALCULATION (continued)							
		•		3. ADDITIONAL FEES							
☐ Check ☐ Credit	card	☐ Other ☐ I	None	Large_Entity Small Entity							
Deposit Account:	Older										
Deposit				Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid		
Account 16	-1852		1	1051	130	2051	65	Surcharge - late filing fee or oath			
Number				1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.			
Deposit Account Pic	oneer Hi-Bred Internati	ional Inc		1053	130	1053	130	Non-English specification			
Name Pic	oneer m-brea internaci	ional, inc.		1812	2,520	1812	2,520	For filing a request for reexamination			
The Director is author				1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action			
□ Charge fee(s) indicate □ Charge any addition □				1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action			
Charge fee(s) indica		for the filing fee	9	1251	110	2251	55	Extension for reply within first month			
to the above-identified deposit account. FEE CALCULATION			1252	420	2252	210	Extension for reply within second month				
1. BASIC FILING FEE			1253	950	2253	475	Extension for reply within third month				
Large Entity Smal	II Entity			1254	1,480	2254	740	Extension for reply within fourth month			
Fee Fee Fee Code (\$) Code	Fee <u>Fee Des</u> (\$)	cription	Fee Paid	1255	2,010	2255	1,005	Extension for reply within fifth month			
1001 770 2001	385 Utility fili	ng fee	770.00	1401	330	2401	165	Notice of Appeal			
1002 340 2002	170 Design fi	iling fee		1402	330	2402	165	Filing a brief in support of an appeal			
1003 530 2003	265 Plant filir	ng fee		1403	290	2403	145	Request for oral hearing			
1004 770 2004 1005 160 2005		filing fee nal filling fee		1451	1,510	1451	1,510	Petition to institute a public use proceeding			
1003 100 2003	00 / 10013101	nar ming ree	L	1452	110	2452	55	Petition to revive – unavoidable			
	SUBTOTAL (1)		(\$) 770	1453	1,330	2453	665	Petition to revive – unintentional			
2. EXTRA CLAIM F	FEEC FOR UTIL	ITY AND DEIC	CUE	1501	1,330	2501	665	Utility issue fee (or reissue)			
Z. EXTRA CLAINT	Extra	Fee from	Fee	1502	480	2502	240	Design issue fee	\vdash		
	Claims		Paid	1503	640	2503	320	Plant issue fee			
Total Claims 30	-20 ** = 10	X 18	= 180	1460	130	1460	130	Petitions to the Commissioner	. —		
Independent Claims 3	-3 ** = 0		= 0	1807	50	1807	50	Processing fee under 37 CFR 1.17 (q	·		
Claims 3 Multiple	-5 - 0			1806	180	1806	180	Submission of Information Disclosure Stmt			
Dependent	B	× []	= 0	8021	40	8021	40	Recording each patent assignment per property (times number of			
Fee Fee Fe	-00	Description		1809	770	2809	385	properties) Filing a submission after final rejection	, —		
	ode (\$)	ims in excess of 20	0	1810	770	2810	385	(37 CFR § 1.129(a)) For each additional invention to be			
1 :		ependent claims in tiple dependent cla						examined (37 CFR § 1.129(b))			
	** P	•	•	1801	770	2801	385	Request for Continued Examination (RCE	,		
1204 86 2204 43 *** Reissue independent claims over original patent			1802	900	1802	900	Request for expedited examination of a design application				
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent			or a design application								
	ove	onginai patent	,	Other fee (specify)							
	SUBTOTA	AL (2) (\$) 180									
		L		*Redu	ced by B	asic Filin	g Fee Pa	aid SUBTOTAL (3) (\$) 0			
**or number previously paid, if greater; For Reissues, see above			1								

SUBMITTED BY Complete (if applicable) Registration No. 43,525 Name (Print/Type) Steven Callistein (Attorney/Agent) Telephone (515) 254-2823 Signature Date January 30, 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

U.S. Patent and Trademark Office; U. S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)

First Named Inventor		Lori Lisa Carrigan				
Title	INBRED CORN L	INE PH907				
Atty D	ocket Number	1708				

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.					
I hereby request that the attached application not be published under 35 U.S.C. 122(b).					
January 30, 2004	Starfilles				
Date	Signature				
(515) 254-2823	Steven Callistein				
Telephone number	Typed or printed name				

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).

This collection of information is required by 37 CFR 1.213(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.